

CLIENT NO.:
 (Filled in by the Bank)

QUESTIONNAIRE FOR AN INTERNATIONAL INDIVIDUAL

FILL IN USING BLOCK CAPITALS!

Dear Client,

Following the laws and regulations governing the activities of credit institutions in the Republic of Latvia, as well as international standards, we kindly request that you provide the necessary information. BluOr Bank AS, hereinafter referred to as the Bank, ensures compliance with regulatory requirements and guarantees confidentiality and non-disclosure of your data.

1. Client information

1.1. Surname, name _____ 1.2. Personal identity number/
Date of birth _____

1.3. Country of birth _____ (Country) 1.4. Identity document
No. and series _____

1.5. Permanent place of residence _____
(street, building No./name, flat, city/municipality, postal code, country)

1.6. Correspondence address
(if different from permanent residence address) _____
(street, building No./name, flat, city/municipality, postal code, country)

1.7. Primary tax residence country code Taxpayer No. _____

1.8. Other tax residencies, if any:

Country code	Taxpayer No.	Please substantiate your relation with this tax residence country
<input type="text"/> <input type="text"/>		
<input type="text"/> <input type="text"/>		
<input type="text"/> <input type="text"/>		

1.9. Are you a politically exposed person (PEP), or a PEP family member, or a PEP close associate?

No Yes, PEP Yes, a PEP family member Yes, a close associate of a PEP

1.10. Are you a U.S. person? No Yes (Please fill out the U.S. Taxpayer Status Identification Form)

2. Purpose of Current Account opening

Savings and spending for personal purposes _____ Acquisition or sale of real estate _____

Acquisition or sale of capital shares of enterprise _____ Investment activities (Please specify) _____

Other (Please specify) _____

3. Client's occupation and planned transactions on the account

3.1. Occupation:

Entrepreneur (Please specify type of business, company name, country and relation) _____

State or municipal official (Please specify the institution, country and your job position) _____

Self-employed person (Please specify the type of business and country of operations) _____

Employee (Please specify the employer, country and job position) _____

Student _____

Retiree _____

Other (Please specify) _____

3.2. Average monthly income, EUR:

Up to EUR 15,000 EUR 15,001 – 50,000 EUR 50,001 – 100,000 EUR 100,001 – 500,000 More than EUR 500,000

3.3. Do you have accounts with other credit institutions or financial institutions?

No

Yes _____

(Please specify names of credit institutions or financial institutions (where required, the Bank may ask the Client to submit account statements from the relevant credit institutions or financial institutions))

3.4. Information about incoming funds to your account:

Salary, fees (Please specify the name of employer or client, country of registration) _____

Inheritance (Please specify the type of inheritance and source of income) _____

Dividends and interest (Please specify company name, country of registration and relation, sources of interest payments) _____

Sale of held financial instruments (Please specify types of financial instruments) _____

Sale of equity (Please specify name and share of equity sold) _____

Sale of personal property (Please specify type and location of property) _____

Income from personal property rental (Please specify type and location of property) _____

Income from real estate deals (Please specify address and type of real estate) _____

Other (Please specify) _____

3.5. Incoming funds are expected from:

Latvia The European Union and/or Iceland, Liechtenstein, Norway, Switzerland Other countries (Please specify) _____

3.6. Are you planning transfers of financial instruments?

No Yes _____ (please specify the value EUR)

The transfer will be made from a Latvian credit institution/financial institution

The transfer will be made from a foreign credit institution/financial institution

3.7. Source of the first payment:

Currency, amount	Payer	Name of the servicing credit institution	Country of the servicing credit institution	Payment reference

3.8. Information about outgoing payments:

Costs for household and personal needs

Investments in financial instruments

Repayment of credits, loans, lease payments

Other (Please specify) _____

3.9. MONTHLY Current Account turnover:

Total number of payments in your account (incoming and outgoing):

Up to 20 payments 20-50 payments More than 50 payments _____
 (specify the approximate number)

Turnover of funds on the Client's Current Account	Up to EUR 15,000.00	From EUR 15,000.01 to EUR 100,000.00	EUR 100,000.01 and more (specify the sum)
Planned maximum turnover of incoming payments, including the maximum amount of a single incoming payment			_____ EUR
Planned maximum turnover of outgoing payments, including the maximum amount of a single outgoing payment			_____ EUR
Planned maximum volume of cash deposits, including ATM cash deposits	_____ EUR		
Planned maximum volume of cash withdrawals, including ATM cash withdrawals	_____ EUR		

4. Information about cooperation with the Bank

4.1. Are you the manager or owner of a company that is an existing client of the Bank?

(a manager or owner (entrepreneur) is defined as an individual who, according to the data of the relevant state enterprise register, is the owner, beneficial owner, or authorized representative (member of the board or council, or procurator) of a current business client (legal entity) of the Bank)

No Yes _____
 (Please specify company registration number)

4.2. What Bank products/services do you plan to use?

I plan to open an account with a related company	Asset management	E-Commerce, POS terminals
Standard deposit over EUR 1 000	Brokerage services	Safe deposit boxes
Subordinated deposit over EUR 1 000	Mortgage loan	None of the above
Overnight deposit over EUR 5 000		

4.3. How did you learn about our Bank?

From a cooperation partner	Advertising on the internet	Print media and publications
Advertising on radio, TV	Outdoor advertising (billboards, advertising on buses, trams, etc.)	Elsewhere (please specify) _____

5. Confirmation

5.1. I confirm that:

- I am the true and actual owner of the funds in my accounts, and I do not intend to conduct transactions on behalf of or in the interests of third parties;
- The Current Account, as well as my other accounts with the Bank and the services provided by the Bank, will not be used for transactions related to illegal activity, funds obtained through illegal or criminal activities. Additionally, activities and transactions conducted in the Current Account and my other accounts will not violate sanctions/restrictions imposed by the Republic of Latvia and/or international organisations, nor will they breach transaction restrictions;
- I am aware that the Bank shall, in accordance with the requirements of the regulatory acts of the Republic of Latvia governing the information exchange process under FATCA and OECD CRS standards, process Client data and submit it to the State Revenue Service of the Republic of Latvia;
- I have listed all countries in which I am a tax resident;
- The information provided in this questionnaire and in the documents submitted to the Bank is complete and true; I am aware that I am liable under applicable laws in the event of providing false information. I undertake to promptly inform the Bank in writing of any changes to the information provided in this questionnaire;
- I am familiar with the terms used in this questionnaire and their explanations, which are available at <https://www.bluorbank.lv/en/definitions> and in the General Terms of Business.

5.2. I agree that the Bank has the right to verify the accuracy of the submitted information, and the Bank is entitled to request additional information and documents from me to verify the information provided in this form, including documents and information about me and my transactions. I also undertake to submit the requested documents and information upon the Bank's first request.

5.3. I certify and agree that if the questionnaire has been completed interactively in the electronic environment provided by the Bank — the *Client's Cabinet*, where actions (such as ticking a checkbox) have been performed, this constitutes giving consent and is considered an *Electronic Signature* in accordance with the General Terms of Business.

6. Client¹

6.1. Surname, name _____

6.2. Signature _____ 6.3. Code calculator (Digipass) key or Blue KEY (S) _____

6.4. Place of signature _____ Date _____
(country, city) dd/mm/yyyy

¹ **Ignore** this section if the document has been prepared in accordance with the applicable laws and regulations on the execution of electronic documents and signed with a secure electronic signature;
or
if the electronic document has been completed interactively in the environment provided by the Bank – in the *Client's Cabinet*, and the actions performed in it (*checking the box*) signify giving consent, and is considered an *Electronic Signature* in accordance with the General Terms of Business.
If the document is signed with the authentication tool provided by the Bank, please **fill out** the following section fields: "Surname, name", "Code calculator (Digipass) key or Blue KEY (S)", "Date".

FILLED IN BY THE BANK

7. Representative of the Bank¹

7.1. Surname, name _____ 7.2. Signature _____

Date _____ L.S.
dd/mm/yyyy

¹ The section **shall not be filled in** if (1) the document is prepared in accordance with the laws and regulations on the execution of electronic documents and signed with a secure electronic signature or (2) the electronic document is filled in interactively in the environment provided by the Bank – in the *Client's Cabinet*, and the actions performed in it (*checking the box*) signify giving consent, and is considered an *Electronic Signature* in accordance with the General Terms of Business.